ORIGINAL ARTICLE

AI FOR LITTLE EYES: A SYSTEMATIC REVIEW OF DEEP LEARNING IN EVALUATING PEDIATRIC CATARACT

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ABSTRACT

Background: Pediatric or congenital cataract (CC) is a leading cause of visual impairment and blindness in children worldwide. Deep learning (DL), a subfield of artificial intelligence, has the potential to enhance diagnosis, treatment, and outcomes in various medical fields.

Research Objectives: summarize and evaluate the diagnostic and prediction capabilities of DL algorithms for CC.

Methods: From 1st February to 25th March 2023, a literature search was conducted in databases such as PubMed, ScienceDirect, EMBASE, and EBSCO, as well as alternative sources such as Google Scholar. Search terms included "pediatric/congenital cataract", "artificial intelligence", "deep learning", "convolutional neural network", "diagnosis", "screening", "prediction" and other relevant synonyms. Quality assessment of studies were assessed based on CONSORT-AI and QUADAS-2. Outcomes extracted included accuracy, sensitivity, specificity, and area under the curve (AUC).

Results: Out of 69 studies screened, five studies with different study designs, dataset sizes, and type of DL algorithms employed were included in the systematic review. Most studies employed DL to analyze slit-lamp images to diagnose CC, while one study utilized DL to predict existence of CC from several risk factors. In silico, most studies demonstrated high accuracy and validity of DL algorithms in detecting and predicting CC; however, DL algorithm is not as accurate in diagnosing CC when compared to human counterparts. These studies had limited generalizability given the homogenous population.

Conclusion: DL shows potential as an adjunct tool for ophthalmologists to improve diagnosis and, therefore, treatment decisions for CC, particularly in remote and underdeveloped regions with limited medical resources.

Keywords: pediatric cataract, deep learning, diagnosis, prediction

INTRODUCTION

According to the World Health Organization (WHO)¹, pediatric cataract or congenital cataract (CC) is a leading cause of childhood blindness, affecting approximately 200,000 children worldwide with a prevalence of 4.24 per 10,000 live births.^{2,3} Although cataract surgery is a safe and effective treatment, visual outcomes in children with cataract can be suboptimal due to factors such as amblyopia, secondary glaucoma, and posterior capsule opacification.⁴ However, detecting CC at an early stage is challenging since the progression is often asymptomatic and difficult for parents to identify.⁵ Moreover, in some settings, access to

ophthalmic care may be limited, resulting in delayed diagnosis and treatment.^{6,7} Therefore, accurate early-stage diagnosis is crucial to enable ophthalmologists to arrange appropriate and timely treatment, optimizing outcomes and minimizing the risk of complications.

In recent years, artificial intelligence (AI) has found application in the field of ocular disease diagnosis. A subset of AI, deep learning (DL) through convolutional neural network (CNN) has emerged as an exceptionally powerful tool for medical image analysis and classification. CNNs, inspired by the visual cortex of cats⁸, could extract relevant high-level features directly from raw images without the need for extensive pre-processing or expert knowledge. In ophthalmology, DL has been used for tasks such as retinal disease detection, glaucoma diagnosis and anterior segment analysis.^{9–11} Aside from CNN, machine learning has also been used in creating predictive models based on structured data including demographics and clinical features^{12,13} which could prove to be useful in regions with insufficient access to medical resources for disease screening.

This systematic literature review aims to comprehensively assess the existing evidence and identify knowledge gaps pertaining to the utilization of DL techniques in the diagnosis and prediction of CC.

METHODS

A comprehensive search was conducted to identify relevant studies on the use of deep learning in diagnosing and predicting pediatric cataract. The search was performed from 1st February to 25th March 2023. The following databases were searched: PubMed, ScienceDirect, EMBASE, and EBSCO. Additionally, alternative sources such as Google Scholar were also searched to ensure a thorough coverage of the literature. Search terms included "pediatric/congenital cataract", "artificial intelligence", "deep learning", "convolutional neural network", "diagnosis", "screening", "prediction" and other relevant synonyms (Table 1). The search was also limited to articles published in English with full text availability. No limit was set on the year of publication. Table 1 summarized the search strategy employed in this paper.

The search results were then imported into reference management software, EndNote 20 (Clarivate, Philadelphia), to facilitate the study selection process. Duplicate articles were identified and removed. Two independent reviewers screened the titles and abstracts of the remaining articles to assess their eligibility based on predetermined inclusion and exclusion criteria. The full texts of potentially eligible articles were retrieved and further assessed for inclusion. Any discrepancies between the two reviewers were resolved through internal discussion.

Databases	Search Terms
	(("artificial intelligence" or "deep learning" or "convolutional neural network")
DubMad	AND ("diagnosis" or "screening" or "prediction")) AND (("congenital
PubMed	cataract"[All Fields]) OR ("pediatric cataract"[All Fields])). Filters: Free full text,
	English
	('artificial intelligence'/exp OR 'artificial intelligence' OR 'deep learning'/exp OR
	'deep learning' OR 'convolutional neural network'/exp OR 'convolutional neural
Embase	network') AND ('diagnosis'/exp OR 'diagnosis' OR 'screening'/exp OR 'screening'
	OR 'prediction'/exp OR 'prediction') AND ('congenital cataract'/exp OR 'congenital
	cataract' OR 'pediatric cataract' OR 'paediatric cataract')
	(("artificial intelligence" OR "deep learning" OR "convolutional neural network")
ScienceDirect	AND ("diagnosis" OR "screening" OR "prediction") AND ("congenital cataract"
	OR ("pediatric cataract" OR "paediatric cataract")))
	((diagnosis or diagnosing or diagnostics) OR (screening or early detection) OR
EBSCO	(prediction)) AND (congenital cataract OR pediatric cataract) AND ((artificial
EDSCU	intelligence or ai or a.i) OR (deep learning or machine learning or artificial neural
	network) OR convolutional neural network)

Table 1. Search terms for each database

Studies were included if they met the following criteria: (1) studies focused on the application of DL algorithms in the context of screening, diagnosing, and predicting pediatric cataract, (2) the studies had to have details on the datasets used, diagnosis, prediction and/or grading criteria of pediatric cataract along with the number of research objects (such as images or cases) in each group, (3) the studies should describe the DL algorithms used for diagnosing, grading and predicting pediatric cataract and report evaluation metrics such as accuracy, sensitivity, specificity and area under the receiver operating characteristics curve, AUC or AUROC. Studies were excluded if they were review articles, conference abstracts, or editorials.

Data extraction form was formulated based on the MINimum Information for Medical AI Reporting (MINIMAR)¹⁴. Two assessors then independently collected relevant information from the chosen articles. Various information including the author, publication year, population included, study setting, data source, definition and grade of pediatric cataract, dataset characteristics, DL algorithm, training, validation and test datasets, and all diagnostic values in training, validation, and testing datasets (accuracy, sensitivity, specificity, and AUC) were collected. Any discrepancies in data extraction were resolved through discussion and

consensus. The findings from the included studies were synthesized narratively. A descriptive summary of the characteristics and main findings of each study was provided.

To assess for risk of bias in the selected diagnostic studies, the Quality Assessment of Diagnostic Accuracy Studies-2 (QUADAS-2) framework¹⁵ was utilized. While, for clinical trials, CONSORT-AI¹⁶ were used. This assessment was performed by two independent reviewers, and any disagreements were resolved through discussion.

This systematic review followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines¹⁷ to ensure transparent and accurate reporting of the search strategy, study selection process, data extraction, and quality assessment.

RESULTS

The search strategy (Figure 1) identified a total of 101 studies from various databases and websites, of which 70 were screened. Sixty-three studies were excluded as they were review articles (n= 7), conferences abstracts (n= 1), book chapters (n= 9) and studies that did not focus on CC (n= 46). A total of five articles were included in this study.

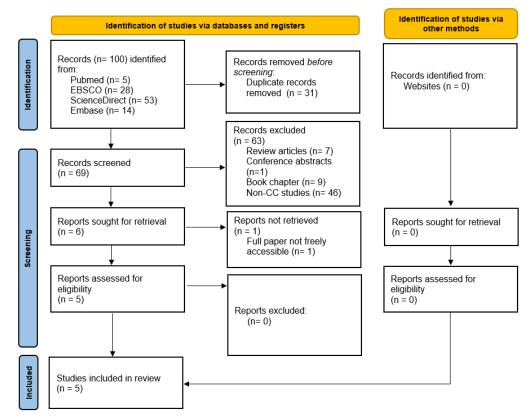


Figure 1. Outline of study selection

The risk of bias of the retrospective studies^{18–21} were assessed using the QUADAS-2¹⁵ tool and the results were described in Figure 2. Overall, the scores ranged from 76.9%¹⁹ to 84.6%^{18,20,21}. While, the RCT²² attained 92% on the 25 items CONSORT-AI¹⁶ checklist, falling

short on stating the inclusion and exclusion of the input data and the performance errors analysis (checklist not included).

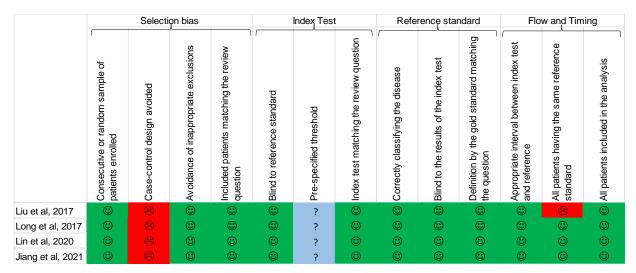


Figure 2. Bias assessment using QUADAS-2 on the included studies

General characteristics of the six studies conducted in China between 2017 and 2021 were included in Table 2. Five studies were retrospective^{19–22}, while one was a randomized controlled trial¹⁸. The studies focused on various objectives, including CC detection, classification, and prediction. Different approaches were used, such as using slit lamp images for detection and classification,^{18,19,21,22} and utilizing risk factors for prediction.²⁰ The number of images or patients in each study ranged from 350 to 2005, and participant ages ranged from 18.96 to 78.96 months.

Authors	Year, Data	Study type	Country	Brief Description	Study Objectives		aracteristics of population	
	Source(s)					N	Mean age (SD), months	Sex, %male
Liu et al	2017; ССРМОН	Retrospecti ve study	China	Training CNN on slit lamp images to diagnose and grade CC	Diagnosis: Detection and classificati on	886 images	NR	NR
Long et al	2017, CCPMOH , websites, other eye hospitals	Retrospecti ve study	China	Training CNN on slit lamp images to diagnose and grade CC	Diagnosis: Detection and classificati on	1349 images	NR	NR
Lin et al	2019; multiple eye centers	Randomise d controlled trial	China	Comparing CNN and senior consultants on diagnosis and grading of CC	Diagnosis: Detection and classificati on	350 images	78.96 (5.4)	44

Table 2 General characteristics of the studies included

Lin et al	2020; CCPMOH	Case- control retrospecti ve study	China	Training CNN on 11 risk factors based on birth conditions, family medical history, and family environmental factors to predict CC	Prediction	2005 patients	37.95 (29.81)	57.6
Jiang et al	2021; CCPMOH , websites	Retrospecti ve study	China	Training CNN on slit lamp images to grade CC	Diagnosis: Classificati on	681 images	18.96 (10.61)	NR

CCPMOH: Childhood Cataract Program of the Chinese Ministry of Health; CNN: convoluted neural networks; CC: congenital cataract; SD: standard deviation; NR: not recorded

Table 3 provides an overview of the CNN algorithms used in the reviewed studies. Different CNN models, including AlexNet, Random Forest (RF), Adaptive boosting (Ada), and CCNN ensemble, were employed, paired with classifiers like Support Vector Machine (SVM) and SoftMax.^{18–22} The evaluation methods involved cross-validation (4-fold or 5-fold) as well as external validation. Training and external validation datasets consisted of images or patients' data. The study by Lin et al²², being an RCT, did not have a specific training dataset as they employed CNN which had been validated previously. Thus, the dataset fed onto the CNN was regarded as the external validation dataset. In Liu et al¹⁹, the CNN was not externally validated. Ophthalmologists or cataract experts served as the reference standard for evaluating the algorithm's accuracy. The classification tasks focused on detecting the presence of CC, grading CC based on opacity area, density, and location, as well as predicting CC based on risk factors

Studies	CNN Architecture	Classification	Training Dataset	Internal Validation Datasets	External Validation Datasets	Reference Standard
Liu et al, 2017	AlexNet + SVM	Presence of cataract; three grades degrees: opacity area, density, location	886 images	25% (4- fold cross validation)	No	Panel of 3 ophthalmol ogists
Long et al, 2017	AlexNet + Softmax	Presence of cataract; three grades degrees: opacity area, density, location	1296 images	20% (5- fold cross validation)	57 clinical images + 53 website images	Panel of 3 ophthalmol ogists

Table 3 Details on algorithm used in each study

Lin et al, 2019	AlexNet + Softmax	Presence of cataract; three grades degrees: opacity area, density, location	N/A	N/A	350 images	Panel of 3 Cataract experts (10 years experience)
Lin et al, 2020	RF and Ada	Presence of cataract	1738 patients	25% (4- fold cross validation)	267 patients	Panel of 2 ophthalmol ogists
Jiang et al, 2021	CCNN- ensemble	Three grades degrees: opacity area, density, location	470 images	20% (5- fold cross validation)	132 clinical images + 79 website images	Panel of 3 ophthalmol ogists

SVM: Support Vector Machine; RF: random forest; Ada: adaptive boosting; CCNN-ensemble: ensemble of costsensitive CNNs; N/A: not available

Table 4 summarizes diagnostic values in the reviewed studies for identifying CC. Four studies reported varying performance metrics using different CNN architectures.^{18–20,22} Accuracy ranged from 87.4% to 98.87%, with variable sensitivity and specificity. AlexNet with Softmax classifier showed relatively high performance^{18,22}, while RF and Ada algorithms had lower but still decent values.²⁰ Compared to humans, reported in Long et al¹⁸, CNN algorithm detected all CC cases correctly while all ophthalmologists had one misidentification case due to lighting. In Lin et al²², CNN sensitivity, specificity, and accuracy were 89.7%, 86.4%, and 87.4% respectively, while senior consultants achieved higher values of 98.4%, 99.6%, and 99.1% respectively based on expert standards.

							Identi	ficatio	n				
		A	Accurac	y	S	ensitivit	y	S	pecifici	ty		AUC	
Au tho rs	CNN Arch itectu re	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Trai ning	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset
Liu et al, 201 7	Alex Net + SVM	97. 07 (0.0 1)	NR	NR	97. 28 (0.0 1)	NR	NR	96. 83 (0.0 2)	NR	NR	0.96 86	NR	NR
Lin et al, 201 9	Alex Net + Soft max	NR	87.4	NR	NR	89.7	NR	NR	86.4	NR	NR	NR	NR

Table 4. DL performance on identification of CC

Lo ng et al 201 7	Alex Net + Soft max	98. 87	98.25	92. 45	98. 78	100	100	98. 95	97.67	71. 43	0.99 96	1	0.9 232
Lin et	RF	81* /79 ⁺	86*/8 6 ⁺	NR	79* /56 ⁺	80*/5 8 ⁺	NR	82* /92 ⁺	91*/9 8 ⁺	NR	0.91 */0.8 2 ⁺	0.995 */0.8 5 ⁺	NR
al, 202 0	Ada	79* /75 ⁺	85*/8 5 ⁺	NR	78* /70 ⁺	77*/5 8 ⁺	NR	81* /78 ⁺	90*/9 7+	NR	0.89 */0.8 +	0.91* /0.86 ⁺	NR
Jia ng et al, 202 1	CCN N ense mble	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR

*bilateral prediction; ⁺unilateral prediction; NR: not recorded; mean (standard deviation); AUC: area under the curve

Table 5, 6, and 7 present diagnostic parameters of CNNs for grading CC based on area, density, and location.^{18,19,21,22} Overall, CNN architectures (AlexNet + Softmax) showed promising results, with Long et al¹⁸ achieving the highest accuracy, sensitivity, and specificity. Generally, performance decreased from training to external datasets across these variables. When compared to human counter parts, there were inconsistent results. In Long et al¹⁸, CNN outperformed ophthalmologists in both classification and grading tasks. In Lin et al²², AI group achieved lower accuracy in grading CC (90.6% area, 80.2% density, 77.1% location) compared to senior consultants (93.3%, 85.0%, 87.5% respectively).

Table 5. DL per	formance on area	grading of CC
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						(Gradin	g - Are	a				
		I	Accurac	у	Sensitivity			Specificity			AUC		
Au tho rs	CNN Arch itectu re	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Trai ning	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset
Liu et al, 201 7	Alex Net + SVM	89. 02 (0.0 1)	NR	NR	86. 63 (0.0 6)	NR	NR	90.7 5(0. 04)	NR	NR	0.9 892 3	NR	NR
Lin et al,	Alex Net + Soft max	NR	90.6	NR	NR	91.3	NR	NR	88.9	NR	NR	NR	NR

201													
201													
Lo													
ng	Alex												
et	Net +	93.	100	94.	95.	100	90.	91.4	100	100	0.9	1	0.9
al	Soft	98	100	87	83	100	48	3	100	100	738	1	603
201	max												
7													
Lin	RF	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
et													
al,	A da	NR	NR	ND	NR	ND	ND	NR	ND	ND	ND	ND	ND
202	Ada	INK	INK	NR	INK	NR	NR	INK	NR	NR	NR	NR	NR
0													
Jia													
ng	CCN												
et	Ν	92.	047	89.	92.	00.24	00	00	067	89.	0.9	0.969	0.9
al,	ense	13	94.7	87	31	90.24	90	92	96.7	47	776	4	465
202	mble												
1													

NR: not recorded; mean (standard deviation); AUC: area under the curve

						Gı	ading	- Dens	sity				
			Accurac	y	S	ensitivit	y	S	pecificit	t y		AUC	
tho rs	Cnn Archi tectu re	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset
Liu et al, 201 7	Alex Net + SVM	92. 68 (0.0 1)	NR	NR	91. 05 (0.0 2)	NR	NR	93. 94 (0.0 2)	NR	NR	0.9 743 3	NR	NR
Lin et al, 201 9	Alex Net + Softm ax	NR	80.2	NR	NR	85.3	NR	NR	67.9	NR	NR	NR	NR
Lo ng et al 201 7	Alex Net + Softm ax	95. 06	92.86	84. 62	95. 65	85.71	90	94. 29	100	78. 95	0.9 882	1	0.9 632
Lin	RF	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
et al, 202 0	Ada	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
Jia ng	CCN N	92. 77	93.18	88. 61	91. 43	89.29	88. 52	93. 85	94.23	88. 89	0.9 801	0.977	0.9 563

Table 6. DL performance on density grading of CC

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al,	mble
202	
1	

NR: not recorded; mean (standard deviation); AUC: area under the curve

Au tho rs	Cnn Archi tectu re	Grading - Location											
		Accuracy			Sensitivity			Specificity			AUC		
		Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset	Tra inin g	Inde pend ent Testi ng Data set	We bsit e- Bas ed Dat aset
Liu et al, 201 7	Alex Net + SVM	89. 28 (0.0 3)	NR	NR	82. 70 (0.0 6)	NR	NR	93. 08 (0.0 4)	NR	NR	0.9 591 1	NR	NR
Lin et al, 201 9	Alex Net + Softm ax	NR	77.1	NR	NR	84.2	NR	NR	50	NR	NR	NR	NR
Lo ng et al 201 7	Alex Net + Softm ax	95. 12	100	94. 87	92. 31	100	100	100	100	91. 67	0.9 808	1	0.9 861
Lin	RF	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
et al, 202 0	Ada	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
Jia ng et al, 202 1	CCN N ense mble	92. 76	93.18	87. 34	89. 29	90.63	87. 3	95. 25	94	87. 5	0.9 729	0.981 3	0.9 306

Table 7. DL performance on location grading of CC

NR: not recorded; mean (standard deviation); AUC: area under the curve **DISCUSSION**

The findings of this study indicate that DL algorithms, especially CNN, for diagnosing and predicting CC demonstrated reasonably high diagnostic values. All accuracy values based on AUC were more than 0.9, which is considered high.²³ CNN's high performance in image analysis can be attributed to its hierarchical feature extraction capabilities, allowing it to extract low-level and gradually learn higher-level features, enhancing recognition performance.²⁴

Additionally, CNN's non-linear mapping ability enables it to handle complex and non-linear patterns in images, surpassing the limitations of linear models and traditional machine learning approaches.²⁵ Moreover, transfer learning empowers CNNs to leverage pre-trained models and fine-tune them on specific datasets, leading to faster convergence and improved recognition performance, particularly when labeled training data is scarce.²⁶ Although DL algorithms may seem to perform better than the human counterparts in several cases, several methodological deficiencies were identified across the included studies which might result in biases.

There were variabilities in the outcomes of the algorithms, with some focusing on detecting the presence^{18,19,22} and grading of $CC^{18,19,21,22}$ while other focused on predicting CC^{20} . Additionally, it is important to note that all the studies included in our review were conducted in China and utilized datasets consisting only of Chinese patients. This limited geographical and ethnic representation may affect the generalizability of DL algorithms to other demographics and populations. In addition, all studies included in this analysis relied on a reference standard established by an average of 2 to 3 experts. The phenotypes of CC are diverse and abundant, exhibiting a wide range of morphological patterns and varying degrees of severity. This variability can introduce subjectivity when grading $CC.^{27}$ Therefore, it is important to exercise caution when interpreting results from studies that rely on a small number of expert graders, as there could be grader bias.

The included studies exhibited variations in the number of data used for training the algorithms, ranging from 350 to 2005. CNNs improve diagnostic accuracy by minimizing the error between their output and the actual image diagnosis. Larger datasets are expected to yield more reliable diagnostic results compared to smaller datasets,²⁸ as smaller datasets may lead to overfitting where the algorithm memorizes irrelevant noise instead of meaningful patterns.²⁴ However, it is hard to collect samples given the rarity of the disease³ Only two studies^{20,22} included sample size calculation, indicating a need for more rigorous study design. Despite the challenges in conducting sample size calculations for AI algorithms, it remains an essential aspect of study design and hence should be addressed in future studies.²⁹

Several other methodological deficiencies included the omission of poor-quality images and the absence of external validation. Notably, none of the studies provided information regarding the exclusion of poor-quality images, and one study failed to conduct external validation.¹⁹ It is crucial to consider image quality and external validation as significant factors when evaluating algorithm performance in clinical settings.²⁸

There are several limitations to our study. The datasets used were homogenous as they were collected in one country. There were also heterogeneities due to differences in imaging modes and internal features of DL models. Accuracy measurements were unavailable for some studies or subdatasets. Some studies lacked external validation or comparison with other professionals.

CONCLUSION

DL algorithms, particularly those utilizing CNN, exhibit superior performance in diagnosing and predicting CC, outperforming human experts in some cases. Sustained high-quality research is essential to effectively integrate this transformative technology and ultimately reduce visual impairment and blindness associated with CC.

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